

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER  
98RO12954170

U.S. APPLICATION NO.

097/914315

INTERNATIONAL APPLICATION NO.  
PCT/FR00/00465

INTERNATIONAL FILING DATE  
24 FEBRUARY 2000

PRIORITY DATE CLAIMED  
25 FEBRUARY 1999

TITLE OF INVENTION: **SECURED ACCESS DEVICE WITH CHIP CARD APPLICATIONS**

APPLICANT(S) FOR DO/EO/US: Jacques SONZOGNI, Mark TRIMMER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- ☒ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - ☐ a. is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☒ b. has been transmitted by the International Bureau.
  - ☐ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):
  - ☐ a. are transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ b. have been transmitted by the International Bureau.
  - ☐ c. have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ d. have not been made and will not be made.
- ☒ 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☒ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

- ☒ 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☒ 14. A substitute specification.
- ☐ 15. A change of power of attorney and/or address letter.
- ☒ 16. Other items or information:

Marked up version of substitute specification.

Submission of Proposed Modifications to Drawings.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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August 24, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031

Jennifer Ferarson

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U.S. APPLICATION NO. <b>09/914315</b>		INTERNATIONAL APPLICATION NO. PCT/FR00/00465		ATTORNEY'S DOCKET NUMBER 98RO12954170	
<input checked="" type="checkbox"/> 17. The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$750.00 No international preliminary exam fee paid to USPTO but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) \$700.00 Neither international preliminary examination fee nor int'l search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS (PTO USE ONLY)      \$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than _____ 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <b>The filing fee has been calculated according to the Preliminary Amendment filed herewith as shown below:</b>				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	32 - 20 =	12	x \$18.00	\$216.00	
Independent claims	4 - 3 =	1	x \$80.00	\$80.00	
			+ \$270.00	\$	
<b>MULTIPLE DEPENDENT CLAIM(s) (if applicable)</b>					
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,156.00	
<b>SUBTOTAL =</b>				\$1,156.00	
Processing fee of \$130.00 for furnishing the English translation later than _____ 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ +	
<b>TOTAL NATIONAL FEE =</b>				\$1,156.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$40.00 +	
<b>TOTAL FEES ENCLOSED =</b>				\$1,196.00	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,196.00</u> to cover the above fees is enclosed. b. _____ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-0484</u> .					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
[X] <b>PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD: CHRISTOPHER F. REGAN</b>					
[X] Associate this file with Customer No. 27975.					
		<b>27975</b>			
PATENT TRADEMARK OFFICE					
				SIGNATURE  _____ MICHAEL W. TAYLOR _____ NAME _____ 43,182 _____ REGISTRATION NUMBER	

00/914315

JCO3 Rec'd POSTO 24 AUG 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

In re Patent Application of:  
SONZOGNI ET AL.

Serial No. Not yet assigned

Filing Date: Herewith

For: SECURED ACCESS DEVICE WITH  
CHIP CARD APPLICATIONS

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Jennifer Ferguson  
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Jennifer Ferguson  
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

PRELIMINARY AMENDMENT

Director, U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Prior to the calculation of fees and examination of  
the present application, please enter the amendments and  
remarks set out below.

In the Drawings:

Submitted herewith is a request for a proposed  
drawing modification as indicated in red ink to label FIG. 1  
as prior art. A second register CS discussed in the  
application is being added to the microprocessor block 200 in  
FIG. 2 as indicated in red ink. No new matter is being added.

In the Claims:

Please cancel Claims 1-4.

Please add new Claims 5-36.

5. A chip card comprising:

0011215, 002401